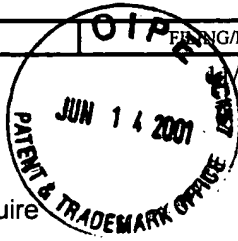




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,906	06/15/2000	Yael Melman	EMC-97-028-A



Leanne J Fitzgerald Esquire
EMC Corporation
35 Parkwood Drive
Hopkinton, MA 01748-9103

CONFIRMATION NO. 5997

FORMALITIES LETTER



OC000000005987696

Date Mailed: 04/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

A. Johnson

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/15/2001 SDIRETA1 00000074 050889 09833906
01 FC:105 130.00 CH

RECEIVED

APR 25 2001

EMC CORPORATION
Intellectual Property Group
Office of the General Counsel
Legal Department



31

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Yael Melman Attorney Docket No. EMC-97-028-A (CON)
Serial No.: 09/833,906 Examiner: To be assigned
Filed: November 15, 2000
Title: COMMUNICATION MECHANISM AND METHOD FOR EASILY TRANSFERRING
INFORMATION BETWEEN PROCESSES

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:


Applicant has noted the following errors in the Filing Receipt issued on April 19, 2001:

1. The omission of prior case history on the Filing Receipt. The correction should be as follows:
Continuation of Serial No. 09/939,772 filed September 29, 1997;
2. The omission of the residence of the applicant. Applicant's residence is Newton, MA.

A copy of the official Filing Receipt with the changes indicated is enclosed. Applicant kindly requests that the filing receipt be amended accordingly.

Respectfully submitted,

Date: 6.08.01



Leanne J. Fitzgerald, Reg. No. 40,606
EMC Corporation
35 Parkwood Drive
Hopkinton, Massachusetts 01749
(508) 435-1000 ext. 77256

g:/patti/ip/filings/97-028-CON(A)CorrFileReceipt

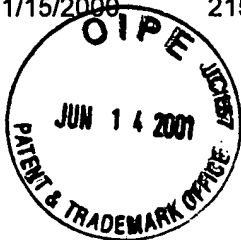


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/833,906	11/15/2000	2152	978	EMC-97-028-A	2	26	5

Leanne J Fitzgerald Esquire
EMC Corporation
35 Parkwood Drive
Hopkinton, MA 01748-9103



CONFIRMATION NO. 5997

FILING RECEIPT



OC000000005987695

Date Mailed: 04/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Yael Melman, Residence Not Provided; (Newton, MA.)

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/939,772 FILED 09/29/1997.

Foreign Applications

If Required, Foreign Filing License Granted 04/18/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Communication mechanism and method for easily transferring information between processes

Preliminary Class

709

RECEIVED

APR 25 2001

EMC CORPORATION
Intellectual Property Group
Office of the General Counsel
Legal Department

Data entry by : DURANT JR, SAMUEL

Team : OIPE

Date: 04/19/2001





17-77
\$3 Sector \$
Docket No. EMC-97-028-A(CON)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Yael Melman

Art Unit: 2152

Serial No.: 09/833,906

Examiner: To be assigned

Filing Date: November 15, 2000

Title: COMMUNICATION MECHANISM AND METHOD FOR EASILY
TRANSFERRING INFORMATION BETWEEN PROCESSES

Box Missing Parts

**Assistant Commissioner for Patents
Washington, DC 20231**

Transmitted herewith are the following documents:

1. Response to Notice to File Missing Parts;
2. One page of the Notice to File Missing Parts of Nonprovisional Application to be returned with the Response;
3. Copy of the date-stamped postcard by the OIPE;
4. Copy of the Declaration and Power of Attorney (2 pages from prior application);
5. Request for Corrected Filing Receipt;
6. Copy of Filing Receipt indicating requested changes; and
7. Return receipt postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned at (508) 435-1000.

Please charge the applicable fees to Deposit Account 05-0889. A duplicate of this sheet is enclosed.

LEANNE J. FITZGERALD

Date: June 08, 2001

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

I hereby certify that the attached *Response to Notice to File Missing Parts, One page of the Notice to File Missing Parts of Nonprovisional Application; Copy of the date-stamped postcard; copy of the Declaration and Power of Attorney, Request for Corrected Filing Receipt, copy of the Filing Receipt indicating requested changes, and postcard* are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on this 11th day of June, 2001.

PATRICIA MACMUNN



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Yael Melman

Attorney Docket No.: EMC-97-028-A (CON)

Serial No.: 09/833,906

Art Unit: 2152

Filed: November 15, 2000

Examiner: To be assigned

Invention: COMMUNICATION MECHANISM AND METHOD FOR EASILY TRANSFERRING
INFORMATION BETWEEN PROCESSES

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS


Responsive to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) that was issued on April 19, 2001 (a copy of which is enclosed), Applicant submits the following:

1. Copy of postcard that was date-stamped by the OIPE on November 15, 2000 indicating receipt of the signed Declaration by the applicant, Yael Melman upon the filing of the Continuation Application;
2. Copy of the Declaration that was signed and dated September 26, 1997.

Applicant believes the application to be acceptable and no additional papers or fees are required. Please apply any other charges or credits to Deposit Account No. 05-0889.

Respectfully submitted,

Date: 6-08-01



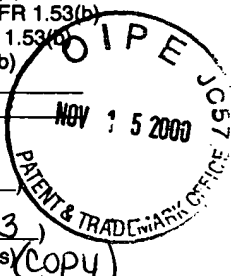
Leanne J. Fitzgerald, Reg. No. 40,606
EMC Corporation
35 Parkwood Drive
Hopkinton, Massachusetts 01749
(508) 435-1000

g:/patti/ip/filings/97-028-A RespMissgParts

Serial No.: 08/939,772 Filed: 9/29/97 Attorney: LJF:pm
Applicant: Melman Docket No.: EMC-97-028-A Date Mailed: Nov 19 2000
Title: Communication Mechanism and Method for ☒ First Class Mail ☐ Express Mail No. _____

The following was/were received in the U.S. Patent & Trademark Office Mail Room on the date stamped hereon:

- | | | | | | |
|----|-------------------------------------|--|-----|-------------------------------------|--|
| 1. | <input type="checkbox"/> | PCT New Application Transmittal
(in duplicate if charging deposit account)
PCT Request
PCT Demand | 12. | <input type="checkbox"/> | Request for Corrected Filing Receipt |
| | | | 13. | | Completion of Filing Requirements or |
| 2. | <input checked="" type="checkbox"/> | Transmittal Form for Application*
(in duplicate if charging deposit account)
Provisional Application under 37 CFR 1.53(c)
Utility Application under 37 CFR 1.53(b)
RCE Application under 37 CFR 1.114
CPA Application under 37 CFR 1.53(d)
<input checked="" type="checkbox"/> Continuation Application under 37 CFR 1.53(b)
Divisional Application under 37 CFR 1.53(b)
CIP Application under 37 CFR 1.53(b)
Other _____ | 14. | | Response to Notice of Informal Application |
| | | | 15. | | Transmittal for Amendment (Response) |
| | | | 16. | | Petition for _____ Mo. Extension of Time |
| | | | 17. | <input checked="" type="checkbox"/> | (in duplicate if charging deposit account) |
| | | | 18. | | Amendment (Response) |
| | | | 19. | | Preliminary Amendment (Response) |
| | | | 20. | | Response to Restriction Requirement or |
| 3. | <input checked="" type="checkbox"/> | *Patent Application including:
Pages Specification
Pages Claims (Claims 1 through _____)
Pages Abstract
2 Sheets of Drawings (Fig. 1 through 3)
Declaration/Power of Attorney (2 pages) (copy)
Verified Simi-Small Entity Status
Executed Power of Attorney
Priority Document(s) & cover sheet
<input checked="" type="checkbox"/> Assignment & cover sheet (copy)
Request to Approve Drawing Change
with _____ Sheets of Red-Line Drawings
_____ Sheets Formal Drawings w/Cover Sheet
Request for Refund | 21. | | Information Disclosure Statement, with |
| | | | 22. | <input checked="" type="checkbox"/> | Form(s) PTO-1449 (_____ sheets) and |
| | | | 23. | | copies of _____ references |
| | | | 24. | | _____ Affidavit or _____ Declaration under |
| | | | 25. | | 37 CFR _____ § 1.131 _____ § 1.132 |
| | | | 26. | | Notice of Appeal |
| | | | 27. | | Petition for Revival Unintentional |
| | | | 28. | | Letter _____ |
| | | | 29. | <input checked="" type="checkbox"/> | Status Inquiry |
| | | | 30. | | Issue Fee Transmittal |
| | | | 31. | | Maintenance Fee Transmittal |
| | | | | | _____ Separate post card(s) |
| | | | | | Check No. _____ for \$ _____ |
| | | | | | Covering Item No(s). _____ |
| | | | | | Certificate of First Class Mailing |
| | | | | | Certificate of Express Mail |
| | | | | | Other: _____ |



COPY